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PTO/SB/01 (03-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) Declaration Submitted With Initial Filing <input type="checkbox"/> OR Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required) <input checked="" type="checkbox"/>	Attorney Docket Number	18608005410
	First Named Inventor	ARAMBULA, Jared et al.
	COMPLETE IF KNOWN	
	Application Number	09/888,223
	Filing Date	June 22, 2001
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

POLAR COORDINATE SURGICAL GUIDEFRAME

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

06/22/2001

as United States Application Number or PCT International

Application Number 09/888,223 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

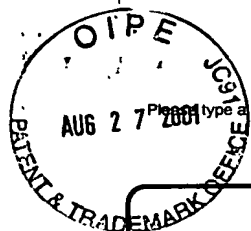
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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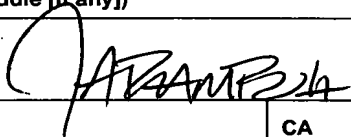


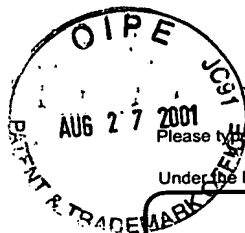
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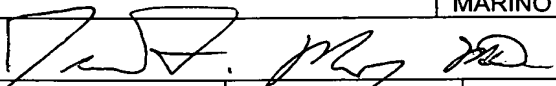
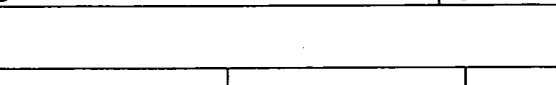
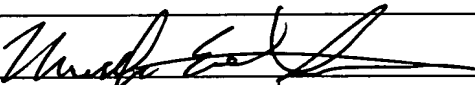
DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	20350	OR	<input type="checkbox"/> Correspondence address below
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Address					
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
JARED Given Name (first and middle [if any])		ARAMBULA Family Name or Surname			
Inventor's Signature				Date 6-29-01	
San Diego Residence: City	CA State	USA Country	US Citizenship		
3028 Jarvis Street Mailing Address					
San Diego City	CA State	92106 ZIP	USA COUNTRY		
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
KEVIN Given Name (first and middle [if any])		FOLEY Family Name or Surname			
Inventor's Signature				Date	
Germantown Residence: City	TN State	USA Country	US Citizenship		
2877 Keasler Circle West Mailing Address					
Germantown City	TN State	38139 ZIP	USA COUNTRY		
<input checked="" type="checkbox"/> Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**DECLARATION****ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
JAMES F.		MARINO	
Inventor's Signature 		Date 7/3/01	
Residence: City	La Jolla	State	CA
		Country	USA
Citizenship US			
Mailing Address 2620 St. Tropez Place			
Mailing Address			
City	La Jolla	State	CA
		ZIP	92307
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
ALAN CURTIS		STONE	
Inventor's Signature 		Date	
Residence: City	San Diego	State	Ca
		Country	USA
Citizenship US			
Mailing Address 11049 Caminito Alegria			
Mailing Address			
City	San Diego	State	CA
		ZIP	92131
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
ERIC		FINLEY	
Inventor's Signature 		Date 6/29/01	
Residence: City	San Diego	State	CA
		Country	USA
Citizenship US			
Mailing Address 10548 Caminito Canyon			
Mailing Address			
City	San Diego	State	CA
		ZIP	92131
		Country	USA

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AUG 27 2001 DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
-----------------------------------	--

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
DAVID G.		MATSUURA	
Inventor's Signature		Date 7/5/01	
Residence: City Encinitas	State CA	Country USA	Citizenship US
Mailing Address 1366 Oak View Way 859 SUMMERSONG CT.			
Mailing Address			
City Encinitas	State CA	ZIP 92024	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
TROY		WOOLLEY	
Inventor's Signature		Date 6/29/01	
Residence: City San Diego	State CA	Country USA	Citizenship US
Mailing Address 10985 Caminito Arcada			
Mailing Address			
City San Diego	State CA	ZIP 92131	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
JAMIL		ELBANNA	
Inventor's Signature		Date 6-29-01	
Residence: City San Diego	State CA	Country USA	Citizenship US
Mailing Address 17285 Grandee Place			
Mailing Address			
City San Diego	State CA	ZIP 92128	Country US

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Address

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State

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NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

JARED

Given Name
(first and middle (if any))ARAMBULA
Family Name
or SurnameInventor's
Signature

Date

San Diego
Residence: CityCA
StateUSA
CountryUS
Citizenship

3028 Jarvis Street

Mailing Address

San Diego
CityCA
State92106
ZIPUSA
COUNTRY

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

KEVIN

Given Name
(first and middle (if any))FOLEY
Family Name
or SurnameInventor's
Signature

Date

Germantown
Residence: CityTN
StateUSA
CountryUS
Citizenship2677 Keasler Circle West
Mailing AddressGermantown
CityTN
State38139
ZIPUSA
COUNTRY☒ Additional inventors are being named on the supplemental Address/Inventor (c) sheet(s) PTO/SB/02A attached hereto.

[Page 2 of 2]

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: ARAMBULA, Jared et al.Application No./Patent No.: 09/888,223Filed/Issue Date: June 22, 2001Entitled: Polar Coordinate Surgical GuideframeNuVasive, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

July 22, 01
Date

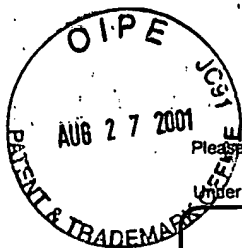

Signature

Keith Valentine

Typed or printed name

Senior VP of Marketing & Development.
Title

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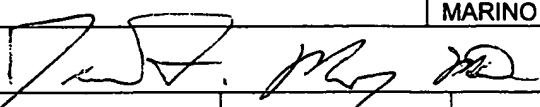
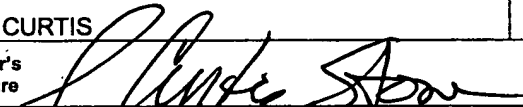
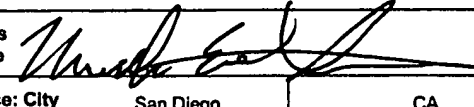
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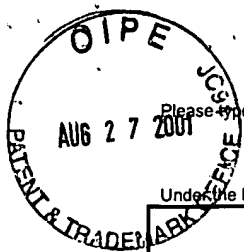
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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
JAMES F.		MARINO	
Inventor's Signature 		Date 7/3/01	
Residence: City	La Jolla	State	CA
Country	USA		
Citizenship US			
Mailing Address 2620 St. Tropez Place			
Mailing Address			
City	La Jolla	State	CA
ZIP	92307	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
ALAN CURTIS		STONE	
Inventor's Signature 		Date 7-10-01	
Residence: City	San Diego	State	CA
Country	USA		
Citizenship US			
Mailing Address 11049 Caminito Alegria			
Mailing Address			
City	San Diego	State	CA
ZIP	92131	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
ERIC		FINLEY	
Inventor's Signature 		Date 6/29/01	
Residence: City	San Diego	State	CA
Country	USA		
Citizenship US			
Mailing Address 10548 Caminito Canyon			
Mailing Address			
City	San Diego	State	CA
ZIP	92131	Country	USA

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/888,223
	Filing Date	June 22, 2001
	First Named Inventor	ARAMBULA, Jared et al.
	Title	Polar Coordinate Surgical Guideframe
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	18608005410

I hereby appoint:

☒ Practitioners at Customer Number

20350

OR

☐ Practitioner(s) named below:

Name	Registration Number

Place Customer
Number Bar Code
Label here

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Assignee	NuVasive, Inc.
Name	Keith Valentine
Signature	<i>Keith Valentine</i>
Date	7/22/01
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.	
<input type="checkbox"/> *Total of _____ forms are submitted.	

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**UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE**

LIMITED RECOGNITION UNDER 37 CFR §10.9(b)

David R. Heckadon is hereby given limited recognition under 37 CFR §10.9(b), as an employee of the Townsend and Townsend and Crew, law firm, to prepare and prosecute patent applications and to represent patent applicants wherein the patent applicants are clients of the Townsend and Townsend and Crew law firm, and wherein a registered practitioner who is a member of the Townsend and Townsend and Crew law firm is the attorney or agent of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) David R. Heckadon ceases to lawfully reside in the United States; (ii) David R. Heckadon's employment with the Townsend and Townsend and Crew law firm ceases or is terminated; or (iii) David R. Heckadon ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: July 12, 2002

**Harry I. Moatz
Director of Enrollment and Discipline**